

1 **Role of the stratosphere in Northern winter climate change as simulated by the**
2 **CMIP5 models**

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39 **Abstract**

40

41 Stratospheric climate change and its potential for surface climate change as simulated
42 by the Coupled Model Intercomparison Project – phase 5 (CMIP5) model ensemble
43 are assessed. We focus on Northern winter climate projections for the period 1961 to
44 2100. The results confirm previous projections that winds in the polar lower
45 stratosphere will weaken at high latitudes and strengthen at low latitudes by the end of
46 the century. To categorize the models as high- or low-top based on the location of the
47 model lid does not reveal significant differences in polar winter stratospheric change.
48 While the majority of high-top models exhibit a significantly larger tropical
49 tropospheric warming than low-top models, this result does not appear to be related to
50 differences in stratospheric processes and vertical resolution. We find that the CMIP5
51 models are more usefully subdivided depending upon the projected winter polar
52 stratospheric change. Sea level pressure changes that are consistent with a weakening
53 of the high latitude stratospheric winds and an increased Brewer-Dobson circulation
54 are in this way revealed. Corresponding changes are also evident in tropospheric
55 intra-seasonal phenomena. We conclude that the change in the strength of the winter
56 stratospheric polar vortex can be an important factor for the projection of the surface
57 changes. Nevertheless, the spread of the modeled stratospheric polar changes within
58 the CMIP5 models calls for a better understanding of the relative role and
59 interdependence of stratospheric dynamical processes and other factors in leading to
60 the reported mean changes.

61

61 **1. Introduction**

62

63 There is evidence that future changes to the stratosphere could have an important
64 impact on tropospheric climate change in the Northern Hemisphere (NH), from the
65 early modeling work by *Shindell et al.* [1999] to more recent analyses including
66 high/low top models in combination with multi-model ensembles [*Scaife et al.*, 2012],
67 boundary-controlled experiments with a single pair of high/low top models
68 [*Karpechko and Manzini*, 2012], and experiments aimed at testing the sensitivity to
69 the basic state [*Sigmond and Scinocca*, 2010]. These and other related studies aim to
70 answer two important questions:

71

- 72 • What is the connection, on climate time scales, between changes in the
73 stratospheric polar vortex and the NH tropospheric circulation?
- 74 • What are the processes responsible for this connection?

75

76 With increasing greenhouse gases, the winter stratospheric polar vortex is expected to
77 respond to local changes in radiative forcing (stratospheric cooling) as well as to
78 remote tropospheric changes in wave forcing and/or changes in wave propagation
79 between the troposphere and the stratosphere [*Sigmond et al.*, 2004; *McLandress and*
80 *Shepherd*, 2009; *Bell et al.*, 2010; among others]. Although future projections of the
81 NH winter lower stratosphere differ in many aspects, the consistent response that
82 appears to emerge is that the zonal winds will weaken at high latitudes and strengthen
83 at low latitudes, a change that can be interpreted as an expansion of the stratospheric
84 vortex. The polar weakening of the stratospheric winds is consistent with the
85 strengthened Brewer-Dobson (BD) circulation in response to climate change widely

86 reported to occur in models in response to increased greenhouse gas concentrations
87 [*Butchart and Scaife, 2001; Butchart et al., 2006; 2010, Shepherd and McLandress,*
88 *2011; Garcia and Randel, 2008; Calvo and Garcia, 2009*]. A combination of
89 weakened polar stratospheric zonal winds and strengthened BD imply that dynamical
90 processes (wave drag/forcing, e.g. *Andrews et al. [1987]*) are implicated in the
91 stratospheric response to climate change.

92

93 The works by *Sigmond et al. [2004]* and *Bell [2009]* have demonstrated the possibility
94 that the stratospheric polar vortex can respond remotely to changes in tropospheric
95 dynamics as a result of greenhouse gas forcing. The weakening of the stratospheric
96 polar winds under increased CO₂ has been found in controlled experiments, which
97 excluded local radiative forcing in the stratosphere [*Sigmond et al., 2004; Bell, 2009*].
98 *Sigmond et al. [2004]* carried out numerical experiments with a middle atmosphere
99 model, where the CO₂ was doubled only in the troposphere, and obtained a dipole
100 zonal wind response, with negative change at the high latitudes, largest in the upper
101 stratosphere. The dipole wind response did not occur in a complementary experiment
102 where the CO₂ was doubled only in the stratosphere and mesosphere. *Bell [2009]*
103 found a similar dipole pattern in stratospheric zonal wind response (with the same
104 polarity) in sensitivity experiments to a sea surface temperature representative of CO₂
105 quadrupling.

106

107 Concerning the surface impact of the changes in the stratospheric polar vortex, both
108 the works by *Scaife et al. [2012]* and *Karpechko and Manzini [2012]* report that using
109 models with tops above the stratopause in climate change experiments has the effect
110 of reducing the projected changes in sea level pressure both in the Arctic and at mid-

111 latitudes that are found in standard (lower top) climate models. These effects are
112 consistent with a stronger Equator-to-pole BD circulation and the downward influence
113 of intra-seasonal stratospheric anomalies seen in observations [*Baldwin and*
114 *Dunkerton, 2001*]. The results of the high top versus low top comparisons by *Scaife et*
115 *al.* [2012] and *Karpechko and Manzini* [2012] therefore support the notion that
116 stratospheric changes can be different in high top models, because stratospheric
117 dynamical processes are better represented in the high top models.

118

119 The purpose of this paper is to assess the stratospheric changes and their associated
120 surface signatures in the Coupled Model Intercomparison Project – phase 5 (CMIP5)
121 ensembles of models, for the period 1960 to 2100. We focus on changes to the NH
122 stratospheric polar vortex and the potential impact of the stratospheric changes at the
123 surface. The multi-model approach is used here to identify robust responses between
124 the models. The specific questions addressed are:

125

- 126 • Are the projected changes in the NH stratospheric polar vortex consistent among
127 the CMIP5 models?
- 128 • What are the consequences of the stratospheric changes for Northern hemisphere
129 surface climate change?

130

131 The CMIP5 dataset provides us, for the first time, with the possibility to assess
132 climate change in the stratosphere from a multi-model ensemble of coupled
133 atmosphere-ocean-sea ice models. This is because in the design of the CMIP5
134 experiments attention has been paid to the specification of forcings of stratospheric
135 change (such as ozone trends) and also because of genuine improvements in the

136 representation of stratospheric processes with respect to previous CMIP model
137 ensembles.

138

139 An assessment of the representation of stratospheric processes in the CMIP5
140 ensemble of models has been reported by *Charlton-Perez et al.* [2012]. By sub-setting
141 CMIP5 models with respect to the location of their atmospheric model top, *Charlton-*
142 *Perez et al.* [2012] found that:

143

- 144 • Stratospheric variability at all time scales is better simulated in the CMIP5 models
145 with tops above the stratopause.
- 146 • The mean climate and historical trends among the CMIP5 models are not
147 distinguishable simply on the basis of a model top characterization above /below
148 the stratopause.

149

150 The *Charlton-Perez et al.* [2012] assessment also shows an improvement in the
151 representation of the stratospheric mean flow in CMIP5 models as compared to the
152 CMIP3 (Coupled Model Intercomparison Project – phase 3) models.

153

154 The organization of the paper is as follows. In section 2 the methodology is described.
155 Section 3 compares the response to increased CO₂ concentrations in both the CMIP3
156 and CMIP5 sets of available models, without distinction of the model top location.
157 This links to previous literature and documents the overall differences emerging from
158 the two datasets, with a focus on the stratosphere. Experiments with 1 percent per year
159 increase in CO₂ are used to compare the two generations of model sets, since this was
160 common to both CMIP3 and CMIP5, and provides the response to identical radiative

161 forcing without the complications of additional forcing, such as aerosols, land-use and
162 ozone forcing.

163

164 In sections 4 and 5 results from the CMIP5 historical and RCP8.5 scenarios (see
165 section 2) are used to characterize the stratosphere and its potential role in climate
166 projections. The analysis focuses on the winter season, the time of the year when
167 stratospheric – tropospheric dynamical coupling is known to be active [*Baldwin and*
168 *Dunkerton, 2001*] and examines changes in the mean state (section 4) and intra-
169 seasonal variations (section 5).

170

171 **2. Methodology**

172

173 CMIP3 / CMIP5 multi-model inter-comparisons are carried out (section 3) employing
174 simulations in which the CO₂ concentrations are increased by 1% per year (hereafter
175 denoted 1pctCO₂). The experiments are initialized from pre-industrial control runs
176 and are continued for 140 years, reaching 4xCO₂ levels by the end of the simulations.
177 The purpose of examining these simulations is the analysis of transient climate
178 sensitivity, evaluation of model responses for one idealized forcing, and comparison
179 with previous CMIPs. Because of the idealized nature of these runs, differences
180 between the average of years 101-140 and years 1-40 are analysed. The average
181 difference in CO₂ forcing between these two 40-year means is about 3xCO₂. To
182 interpret the results of the 1pctCO₂ runs, one also must keep in mind that this is a
183 transient run with a very rapid increase in CO₂, which means that the ocean state is y
184 far from being in equilibrium with the radiative forcing from the CO₂ increase. The
185 stratospheric response can be affected by the different sea surface temperature forcing

186 than is the case for a more equilibrated simulation. For this analysis, outputs for 12
187 CMIP3 models and 11 CMIP5 models were available (Table 1).

188

189 To characterize the CMIP5 simulations stratosphere and its potential role in climate
190 projections (sections 4, 5), the historical simulations from 1961 are employed together
191 with the Representative Concentration Pathways 8.5 scenario (RCP8.5), in which
192 year-2100 has a nominal radiative forcing of 8.5 Wm^{-2} [*Van Vuuren et al.*, 2011].
193 Differences between averages of the RCP8.5 period 2061-2100 minus the historical
194 period 1961-2000 are examined. The model output used are reported in Table 2,
195 classified by high and low top as in *Charlton-Perez et al.* [2012]: Models with tops
196 below/above the stratopause (nominally located at 1 hPa) are classified low/high top,
197 respectively. The separation is motivated by the assumption that the high-top models
198 more realistically include stratospheric processes, for instance planetary wave
199 dissipation whose breaking level is typically located close to the stratopause. If there
200 were no other differences between the two ensembles, the difference in climate
201 simulations between the ensembles could be attributed to the stratospheric processes.
202 The CanESM2 model, with top at 1 hPa, is excluded in the difference plots between
203 high and low top models. Table 3 provides a summary of the CMIP5 models by
204 diagnostic, and shows how many realizations per model and diagnostic are used.
205 When more than one realization from a given model is used, it is first averaged across
206 all realizations of a given model before calculating the multi-model mean.

207

208 **2.1 Inter-model consistency and statistical significance**

209

210 In reporting results from projections of future changes from a multi-model ensemble it
211 is important to have information on the level of inter-model agreement in the future
212 changes. To address this question, attention is paid to the consistency in the sign of
213 the projected change as been done previously, for example in comparisons of
214 precipitation projections [*Solomon et al.*, 2007]. When differences in the projected
215 changes between two multi-model averages are shown, then 2-tailed t-test statistical
216 significance is reported. This latter addresses the question of whether there is enough
217 evidence to reject the null-hypothesis that the projections of the two multi-model
218 ensemble averages are the same.

219

220 **3. Inter-comparison of CMIP3 and CMIP5 simulations**

221

222 In this section we carry out a comparison of the CMIP3 and CMIP5 1%/yr increase in
223 CO₂ concentration experiments. Figure 1a-c shows the projected change in zonal
224 mean zonal winds due to the approximately x3 increase in CO₂ concentrations (see
225 methods section). As seen in previous studies (e.g. *Scaife et al.* [2012] and references
226 therein) both CMIP3 and CMIP5 models show a dipole structure with weakening at
227 high latitudes and strengthening at low latitudes in the troposphere. However, in the
228 lower stratosphere (200-10 hPa, poleward of 50°N) the wind changes are qualitatively
229 and quantitatively different. In CMIP3 the strengthening of the zonal winds extends to
230 the North Pole but in CMIP5 it is abruptly halted between 60°-70°N and there is an
231 easterly change (weakening) at high latitudes, so that the polar weakening extends
232 throughout the depth of the troposphere and stratosphere in the CMIP5 runs, in
233 contrast to the CMIP3 runs. The tropospheric signal is also strengthened in the CMIP5
234 runs. The inter-model consistency in the sign of the response is given by the shading:

235 for at least 66% of the models the zonal wind response is negative poleward of 70°N
236 in CMIP5, while it is positive for the same fraction of models in CMIP3. The
237 CMIP5-CMIP3 difference (Figure 1c) is therefore also characterized by a dipole in
238 the stratosphere, with positive/negative difference equatorward / poleward of 50°N.
239 For the models considered, the CMIP5-CMIP3 difference poleward of 60°N is
240 significant in the lower stratosphere (200-50 hPa). At 80°N, 10 hPa, the CMIP5-
241 CMIP3 difference is of the same size as the CMIP3 response.

242

243 The change in the atmospheric temperature in both the CMIP5 and CMIP3 sets is
244 characterized by the typical warming in the troposphere and cooling in the
245 stratosphere [IPCC 2007]. In Figure 1d the CMIP5 minus CMIP3 difference in the
246 NH zonal mean temperature change is shown. The dipole stratospheric (100-10 hPa)
247 temperature difference in the change is consistent with the zonal wind difference.
248 Cooling in the tropics and warming in the polar region in CMIP5 with respect to
249 CMIP3, imply a stronger Equator-to-pole BD circulation response in CMIP5.
250 Stratospheric dynamical processes (i.e., variability of the stratospheric vortex) appear
251 therefore to be implicated in the CMIP5-CMIP3 differences. In the tropical
252 troposphere, the CMIP5-CMIP3 temperature difference is less than 0.5 K, and
253 indicates that the average difference in climate sensitivity between the two sets of
254 models is not significant. At the surface, the polar warming (60-80N) is quite
255 possibly due to advances in the representation of seaice processes in CMIP5 with
256 respect to CMIP3, although improved vertical interaction between the stratospheric
257 and sea-ice processes cannot be ruled out [Hardiman *et al.* 2012].

258

259 Figures 1e and f show respectively the SLP change in CMIP5 and the CMIP5-CMIP3
260 difference in the change. The CMIP5 ensemble SLP change is characterized by the
261 well know pattern of negative changes over the pole and positive changes at mid-
262 latitude [IPCC 2007]. The pattern of the CMIP5-CMIP3 difference in SLP change,
263 positive over the Arctic and negative around it, is consistent with the changes in
264 CMIP5-CMIP3 zonal winds in the stratosphere and the signal of polar stratospheric
265 change shown by *Scaife et al.* [2012] and *Karpechko and Manzini* [2012], although it
266 is not statistically significant at $p < 0.1$. In JFM (not shown) the CMIP5-CMIP3
267 difference over the Arctic is broader and significant (2-tailed t-test, $p < 0.1$), consistent
268 with the results by *Karpechko and Manzini* [2012] who found that the stratospheric
269 influence maximizes in late winter-early spring. Compared with the previously
270 reported analysis, the positive polar SLP difference shown in Figure 1f is however
271 limited to higher latitudes. Different from previous studies, the stratospheric impact
272 shown in Figure 1 is estimated by means of a multi-model mean. It is therefore likely
273 that the inter-model spread related to the representation of all climate processes (e.g.,
274 within the troposphere, ocean, sea-ice, not only stratosphere) is responsible for the
275 high latitude confinement of the positive polar SLP CMIP5-CMIP3 difference.

276

277 **4. CMIP5 projections: Mean Changes**

278

279 In this section, future climate change is diagnosed from the historical and RCP8.5
280 scenarios of the CMIP5 simulations which include all known natural and
281 anthropogenic forcings, in contrast to the 1%/yr CO₂ increase experiments discussed
282 in the previous section. The future projection of zonally-averaged stratospheric zonal
283 winds (Figure 2a) shows a dipole pattern similar to that in Figure 1a. At low latitudes

284 the winds strengthen around the tropopause, consistently with the well known upward
285 (and poleward) shift and the strengthening of the subtropical tropospheric jet [*IPCC*
286 2007]. At high latitudes the zonal wind change is negative from the surface to the
287 middle stratosphere (10 hPa). The inter-model consistency in the sign of the response
288 is given by the shading. The negative change poleward of 60°N occurs for at least
289 66% of the models in the middle stratosphere 10-50 hPa and for more than 90% of the
290 models in the lower stratosphere and the troposphere (below 100hPa). Both the low
291 latitude positive changes and high latitude negative changes are larger in magnitude in
292 the CMIP5 high top models (Figure 2b). However, significant changes are found
293 primarily only at low latitudes. In addition, Figure 2b shows hints of significant
294 dipole-like difference in the tropospheric wind strength.

295

296 Figures 2c and 2d show corresponding diagnoses for zonally-averaged temperatures.
297 The change in zonal mean temperature in the CMIP5 multi-model ensemble mean is
298 characterized by the typical warming in the troposphere and cooling in the
299 stratosphere [*IPCC* 2007]. The difference between high and low top models (Figure
300 2d) reveals significant changes in the tropical troposphere, indicating larger
301 tropospheric warming. This result is consistent with the low latitude positive changes
302 in zonal mean zonal wind (Figure 2b) and is discussed further below. At high
303 latitudes, the CMIP5 high-top minus low-top difference in the stratospheric change
304 shows greater cooling/warming below/above ~70hPa, but the signals have low
305 statistical significance.

306

307 At the surface (Figure 2e), the CMIP5 multi model mean change in SLP reproduces
308 the well-known pattern of decreased SLP over the pole and increases at mid-latitudes.

309 The SLP difference CMIP5 high-top minus CMIP5 low-top models (Figure 2f) is
310 however not consistent with the high latitude stratospheric changes in zonal mean
311 zonal wind (Figure 2b), because it shows a significant decrease of the polar SLP,
312 surrounded by higher pressure at mid-latitudes. In addition, as noted previously, the
313 high-top minus low-top comparison reveals stronger subtropical zonal mean zonal
314 winds (Figure 2b) and higher tropospheric zonal mean temperatures in the high-top
315 models (Figure 2d). These results are absent in Figures 1c and 1d, and cast doubts that
316 the SLP difference between the two ensembles are attributable to stratospheric
317 changes.

318

319 Figure 3 explores in more detail the fact shown in Figure 2b and 2d that the CMIP5
320 high top models appear to have a larger tropospheric warming in response to climate
321 change and its consequences on SLP surface change. Figure 3a shows a scatter plot
322 of the projected temperature change in the tropics at 300 hPa compared with that at
323 850 hPa. It shows that the models with a large warming in the upper tropical
324 troposphere (300 hPa) also have a large warming in the lowermost troposphere (850
325 hPa). This is consistent with the expectation of how the tropical troposphere responds
326 to the greenhouse gases increase, and has been shown previously [*Gettelman and Fu,*
327 2008]. Clearly, Figure 3a shows that the majority of the high-top models are warming
328 at a faster rate than the majority of the low-top models also in the lower troposphere,
329 suggesting that the high-top models have, on average, larger climate sensitivity than
330 the low-top models. It is not clear at this point, what might be the origin of these
331 different responses in tropical (and global, not shown) tropospheric warming between
332 the high and low top models. However, Figure 3a also shows that although as a group
333 the high and low top models shows a distinct difference in their tropospheric

334 warming, the 3 pairs of high and low-top models that share the same tropospheric
335 component (shown by filled squares, from top to bottom: EC-EARTH, CMCC-
336 CESM and HadGEM2-CC/ES) have virtually the same tropospheric warming. This
337 result indicates that stratospheric processes and vertical resolution due to a higher top
338 are not responsible for the high/low top model difference shown in Figure 3a, and
339 have consequently negligible impact on climate sensitivity. Differences related to
340 model formulation in tropospheric climate processes, such as cloud feedbacks, water
341 vapor, and oceanic (heat transport) processes are therefore implicated.

342

343 Figure 3b shows the correlation between the DJF tropical zonal mean temperature
344 change at 300 hPa and SLP, poleward of 20°N. The correlation is negative/positive
345 poleward/equatorward of 60°N. At high latitudes, the correlation is statistically
346 significant. The pattern and sign of the correlation shown in Figure 3b strikingly
347 resembles Figure 2f and suggests that model with larger tropospheric warming
348 (stronger climate sensitivity) tend to simulate stronger extra-tropical SLP changes.
349 Figure 3b therefore provides further support to the interpretation that the difference in
350 the SLP change depicted in Figure 2f is largely due to tropospheric and oceanic
351 processes (directly related to climate sensitivity) rather than the difference in the
352 stratospheric changes between the high and the low top models.

353

354 In summary, it is concluded that the high-top minus low-top comparison is not an
355 appropriate subdivision of the CMIP5 model ensemble, if one is searching to identify
356 the impact of the future state of the NH winter polar stratosphere on surface climate
357 within CMIP5.

358

359 **4.1 An index of polar vortex change**

360

361 To investigate, whether it is possible to identify the consequences of the future
362 projection of the stratospheric polar vortex within the CMIP5 multi-model set, the
363 CMIP5 models (both high and low top versions) have been divided into two subsets,
364 according to the projected change to the strength of the stratospheric polar vortex. To
365 define the future projection of the stratospheric winds by model, a simple index,
366 hereafter named SUA (S=stratosphere, UA=zonal wind) has been constructed. The
367 SUA index is defined as the zonal mean zonal wind change (2061-2100 minus 1961-
368 2000) at 10 hPa, averaged between 70°-80°N. The 70°-80°N latitudinal band is
369 chosen, because this is where the zonal wind negative change is largest at 10 hPa (see
370 Figure 2a). Hereafter:

371

- 372 • Subset “strong” (labeled CMIP5s) consists of the models with positive SUA index.
- 373 • Subset “weak” (labeled CMIP5w) consists of the models with negative SUA index.

374

375 So the ‘weak’ subset has a projected response in which the stratospheric winds change
376 shows a dipole structure with weakening/strengthening north/south of 60°N, whereas
377 in the ‘strong’ subset the polar vortex is strengthened up to the pole (as in CMIP3).
378 Figure 4a show the difference CMIP5w minus CMIP5s in the SLP change. This
379 difference clearly shows a quasi-annular pattern, with positive difference over the
380 Arctic, North Atlantic and North European region and negative differences at middle
381 latitudes over the Atlantic basin and South Europe, far East-Asia and Pacific basin.
382 Although a causal relationship cannot be extracted based on Figure 4a, the depicted
383 SLP change difference is consistent with the weakening of the polar stratospheric

384 winds in the CMIP5w models with respect to the CMIP5s. Consequently, Figure 4a
385 can be interpreted as a measure of the uncertainty in surface climate change related to
386 the co-variability of the polar stratospheric wind and the SLP. Over the North Atlantic
387 and European region and the Pacific basin, this uncertainty is of the same order of the
388 CMIP5 projected changes (Figure 2e) and is therefore substantial.

389

390 To document if there is a simple relationship between the model spread in the polar
391 stratospheric changes and the high latitude stratospheric climate of the late 20th
392 century, Figure 4b and 4c show scatter plots of the DJF SUA index versus,
393 respectively, the DJF mean and monthly standard deviation of the maximum value of
394 the zonal mean zonal wind at 10 hPa and poleward of 50°N over the period 1961-
395 2000. There appears to be a small but statistically significant correlations between the
396 SUA index and both the zonal wind mean and standard deviation (Table 4, where also
397 JFM values are reported). Most of the models with larger wind mean and std also
398 report more negative SUA indices. High-top models tend to have a larger std and are
399 also in a better agreement with the std derived from ERA40 re-analysis (black lines),
400 while the ERA40 zonal mean wind is located roughly in the middle of the model
401 spread. In JFM, the correlations (Table 4) are slightly larger and also more significant.
402 Although here only a brief analysis of the possible connection between the spread of
403 the modeled stratospheric change and the climatological behavior is presented, overall
404 these results suggest that it can be of interest in a future work to pursue an analysis
405 aimed at characterizing the origin of the model spread including an assessment of the
406 modeled variability (here estimated by the reported monthly std).

407

408 **4.2 Brewer-Dobson upwelling**

409

410 Figure 5 shows a comparison of the seasonal evolution and annual mean of the change
411 in total mass upwelling between the so-called ‘turnaround’ latitudes at 70 hPa i.e.
412 equatorward of the latitudes at which the zonally averaged vertical velocity changes
413 from net upwelling to net downwelling. It is therefore a useful measure of the strength
414 of the Brewer-Dobson (BD) circulation. All models, including the low top versions,
415 agree in the sign of the change, while the high top models show a tendency for a
416 larger increase in strength [Karpechko and Manzini, 2012], but the inter-model spread
417 is large. The projected increase in the BD circulation for the end of the 21st century
418 confirms previous multi-models assessments [Butchart *et al.*, 2006; 2010] and
419 provides evidence that stratospheric dynamical processes (e.g., wave drag/forcing) are
420 responsible for the weakening of the high latitude stratospheric winds shown in Figure
421 2a.

422

423 **5. CMIP5 projections: Intra-seasonal Changes**

424

425 Given that the SLP/zonal wind mean changes discussed in Figure 4a are related to
426 other aspects of the tropospheric circulation some of these relationships are here
427 explicitly examined, namely the future projections of atmospheric blocking,
428 tropospheric low-level jets, and storm track activity (section 5.1). We also examine
429 projected changes in the timing of stratospheric final warming (SFW) events [Black *et*
430 *al.*, 2006] to shed light on the duration into spring of the stratospheric changes
431 reported in Figure 2a and their impacts on the troposphere (section 5.2).

432

433 **5.1 Blocking, tropospheric low level jets and storminess**

434

435 Figure 6 shows DJF changes in the latitude-longitude distribution of blocking
436 frequency. Previous studies have shown that most models exhibit unrealistic blocking
437 frequencies, particularly over Europe where large underestimates are common
438 [D'Andrea *et al.*, 1998; Scaife *et al.*, 2010]. Hence the blocking frequency projections
439 must be treated with caution, their value being based on the assumption that model
440 deficiencies play a secondary role, at least in the determining the sign of the changes.
441 Similar to earlier generations of models, blocking biases in CMIP5 models remain
442 large; Anstey *et al.* [2012] give a more detailed analysis of these biases and their
443 relation to low-level jet biases as diagnosed by the Jet Latitude Index (JLI), as well as
444 to stratospheric resolution. Here we define the blocking frequency from daily 500 hPa
445 geopotential height (Z500) using the method by Scherrer *et al.* [2006], which is a
446 two-dimensional (varying in latitude and longitude) generalization of the one-
447 dimensional (varying in longitude only) blocking index by Tibaldi and Molteni
448 [1990]. While a variety of different blocking indices have appeared in the literature,
449 the Scherrer *et al.* [2006] index is chosen here because it is straightforward to
450 calculate from a standard CMIP5 model output (daily Z500).

451

452 Briefly, the index measures the frequency of large-scale reversals of the meridional
453 gradient of Z500, which are interpreted as the signature of persistent anticyclonic
454 anomalies that would be identified synoptically as blocking. The definition of a
455 blocking event at a given gridpoint, according to this index, is that a reversal of the
456 Z500 meridional gradient equatorward of the gridpoint is simultaneously
457 accompanied by an anomalously strong Z500 meridional gradient (i.e., strong
458 westerlies) poleward of the gridpoint. If these two criteria are satisfied, then an

459 instantaneous blocking event is said to occur. A persistence filter may then be applied
460 to isolate events of long duration. Here the instantaneous frequency is preferred in
461 order to maximize the sample size of events. Applying a five-day persistence filter
462 gives results that are similar but noisier due to the lower frequency of events (not
463 shown) and in general the spatial pattern of blocking frequency has been shown to be
464 relatively insensitive to the particular choice of spatiotemporal filtering that is applied
465 to the instantaneous blocking index [Davini *et al.*, 2012].

466

467 Figures 6a and 6b shows that under RCP8.5 forcing conditions, DJF blocking
468 frequency in most regions of the Northern Hemisphere is projected to decrease in the
469 future [Anstey *et al.*, 2012]; the robustness across both model subsets of this general
470 change adds some confidence to the result. The blocking change pattern is broadly
471 similar for CMIP5w and CMIP5s models, but some differences are apparent:
472 CMIP5w models tend to show a weaker blocking decrease over Northern Europe and
473 Greenland than do the CMIP5s models, as indicated by the difference pattern in
474 Figure 6(c). This is broadly consistent with Figure 4(a), which shows increased high-
475 latitude SLP (i.e., a weaker high-latitude SLP decrease) for CMIP5w-CMIP5s. It
476 should be noted that the models used in Figure 6 are a subset of the models used in
477 Figure 4. Figure 6 is limited to those models for which daily geopotential height was
478 available for both historical and RCP 8.5 runs, leaving 13 negative SUA-index and 3
479 positive SUA-index models. In the previous section it was argued that Figure 4a
480 provides a measure of the uncertainty in future surface climate in the North Atlantic
481 and European region associated with stratospheric changes. In the same way, the
482 CMIP5w-CMIP5s blocking difference in Figure 6c can be interpreted to indicate

483 uncertainty in future blocking frequency in these regions that is associated with
484 stratospheric changes.

485

486 Changes in the tropospheric low level jets are diagnosed by means of JLI following
487 *Woollings et al.* [2010]. This index describes the daily variability of the low-level
488 sector-mean zonal wind, where the sector mean is the zonal mean restricted to the
489 longitudes 60°W-0° in the Atlantic basin and 180°W-120°W in the Pacific basin. The
490 JLI is defined as the latitude, within the regions 15°N-75°N for the Atlantic and 15°-
491 65° for the Pacific, where the maximum of the sector-mean zonal wind occurs on each
492 day. The 850 hPa zonal wind is used, and a 5-day running mean followed by
493 interpolation onto a standard 2.5°x2.5° grid are performed before computing the JLI.
494 Only models for which daily zonal wind is available for both historical and RCP8.5
495 experiments are used, yielding a subset of 15 models out of the 22 models listed in
496 Table 1. Figure 7 shows that modeled JLI distributions are generally more sharply
497 peaked than ERA-40, indicating too little variability of jet position in the models. In
498 the Atlantic basin, the multi-model mean fails to capture the extent of JLI distribution
499 trimodality seen in the reanalyses, although a small number of models do exhibit
500 distinctly trimodal distributions (not shown). Virtually all models, however,
501 underestimate the magnitude of the poleward Atlantic JLI peak.

502

503 In the future RCP8.5 scenario, Figure 7a (thin lines) shows that the Atlantic jet
504 becomes increasingly likely to be found at the central JLI peak rather than the
505 equatorward or poleward peaks. This change is more pronounced for CMIP5s than
506 CMIP5w models. The fact that the CMIP5w models show a weaker overall blocking
507 frequency decrease (Figure 6c) is consistent with the trend towards more central JLI

508 being weaker for the CMIP5w models. Studies of reanalyses data show that high-
509 latitude blocking favours equatorward jet displacement [*Woolings et al.*, 2010; *Davini*
510 *et al.*, 2012]. Hence the negative trend in high-latitude Atlantic blocking is consistent
511 with decreased occurrence of the equatorward Atlantic jet position, and this also
512 occurs more prominently for the CMIP5s models, concomitantly with a stronger
513 decrease of high-latitude Atlantic blocking.

514

515 In the Pacific, Figure 7(b) shows that the jet shifts poleward in the future, with this
516 shift being slightly more pronounced for the CMIP5s (positive SUA index) models.
517 The weaker association between the JLI and stratospheric polar wind changes may be
518 due to the Pacific jet being located further equatorward and having more of the
519 character of a subtropical jet (in contrast to the Atlantic eddy-driven jet, which is
520 often separated from the subtropical jet). Similarly to the Atlantic, decreased
521 equatorward JLI in the Pacific is accompanied by negative blocking frequency
522 changes at high latitudes.

523

524 Figures 8a and 8b shows the projection to the end of the 21st century of storm track
525 activity, given by the 2-6 days bandpass filtered SLP standard deviation [*Ulbrich et*
526 *al.*, 2008], separated according to the stratospheric polar wind change, while Figure 8c
527 shows the CMIP5w-CMIP5s difference in storm track activity change. As in the case
528 of the blocking frequency, the change in storm track activity is broadly similar for the
529 CMIP5w and CMIP5s models, but some differences are apparent. Specifically, the
530 difference CMIP5w-CMIP5s in the storm track activity change shows a smaller
531 increase in storm track activity in the North-Atlantic and North Pacific regions in
532 CMIP5w with respect to CMIP5s (Figure 8c). Therefore, changes in storm track

533 activity accompany the difference in mean SLP change (Figure 4a) and are also
534 consistent with the smaller decrease in blocking (Figure 6c). Although it is of interest
535 to note the strong association between the state of the stratospheric vortex and the
536 storminess, it must be kept in mind that tropospheric storm track activity is strongly
537 influenced by tropospheric and oceanic processes affecting the surface atmospheric
538 baroclinicity [Wollings *et al.* 2012].

539

540 **5.2 Stratospheric final warming**

541

542 The tropospheric impact of stratospheric final warming (SFW) events was first
543 studied in *Black et al.* [2006]. They found that SFW events (a) sharply weaken the
544 high latitude westerlies in comparison to climatological trend values while (b)
545 providing a pattern of height rises (falls) over polar latitudes (oceanic mid to high
546 latitudes). The statistical behavior of stratospheric final warming events in historical
547 simulations of CMIP5 models is examined by *Charlton-Perez et al.* [2012]. The main
548 result is that boreal SFW events are typically delayed by an average of about 2 weeks
549 in CMIP5 simulations compared to parallel results derived from reanalyses.

550

551 Here we extend the statistical analyses of *Charlton-Perez et al.* [2012] to identify the
552 ensemble average tropospheric impact of SFW events in both historical and RCP8.5
553 CMIP5 simulations. Boreal SFW onset dates are identified using the methods of
554 *Black et al.* [2006]. Circulation anomalies are taken as deviations from the first six
555 Fourier harmonics of a repeating annual cycle (itself obtained by concatenating long-
556 term daily averages for each calendar day). Finally, we assess the tropospheric impact
557 of SFW events by considering the composite (among all SFW events for each

558 simulation) circulation anomaly difference occurring during a 20-day period
559 surrounding SFW onset. For each model configuration studied we analyze one
560 member of the historical simulation ensemble (Table 3), since the results do not vary
561 appreciably among the ensemble members. The results for the historical simulation
562 ensembles are displayed in Figure 9. The composite difference in zonal-mean zonal
563 wind anomalies illustrates that CMIP5 models faithfully represent the coupled
564 stratosphere-troposphere signature identified in *Black et al.* [2006]. Specifically,
565 SFW events are associated with a statistically significant zonal deceleration
566 /acceleration within sub-polar /low-middle latitudes (compare with Figure 3 of *Black*
567 *et al.* [2006]) in the multi-model ensembles. The zonal wind change is linked to a
568 parallel north-south dipole in sea level pressure anomaly change with significant
569 pressure increases /decreases at polar /middle latitudes. Similar analyses of surface air
570 temperature reveal that SFW events are linked to significant polar warming
571 (particularly in the western hemisphere) and cooling over northernmost Eurasia. This
572 pattern is consistent with the idea that SFW events help to facilitate spring onset
573 within portions of the Arctic [*Black et al.*, 2006].

574

575 We have also performed parallel analyses of RCP8.5 model simulations. We find that
576 (a) there is no significant change observed in the average timing of SFW events and
577 (b) the stratospheric and tropospheric circulation anomaly change patterns associated
578 with SFW events are not statistically distinct from those found for the historical
579 model ensembles (i.e., the results closely resemble those presented in Figure 9 and,
580 for brevity, are not shown). To summarize our results: While CMIP5 models are able
581 to represent the salient characteristics of the tropospheric response to SFW events,

582 there is no discernible change in either the behavior of SFW events or their
583 tropospheric impact between the historical and RCP8.5 model ensembles.

584

585 Concerning the timing of the SFW and the projection of the mean stratospheric zonal
586 wind change: (a) there does not appear to be any consistent relationship between SFW
587 timing and either the SUA index or the location of the model top and (b) there are
588 substantial changes observed for individual models, ranging from -20 days (e.g.,
589 CNRM-CM5) to +13 days (MIROC-ESM-CHEM and CSIRO-MK33-6-0). We
590 therefore conclude the stratospheric changes reported in Figure 2a do not extend into
591 the spring season, at least in such a way to affect the timing of the SFW events.

592

593 **6. Discussion and Conclusion**

594

595 Stratospheric changes and their potential associated surface signatures in the CMIP5
596 ensemble of models have been assessed for the period 1961-2100, focusing on the NH
597 winter stratosphere-troposphere climate, when the stratosphere-troposphere dynamical
598 coupling is most active. A CMIP5 and CMIP3 comparison has also been addressed.

599 The main findings are summarized here:

600

601 (1) The NH stratospheric zonal wind projected changes to the end of the 21st century
602 are likely to be characterized by a dipolar pattern, with stronger winds at low
603 latitudes, further upward extension of the well known upward (and poleward) shift
604 and strengthening of the subtropical tropospheric jet, and weaker winds at high
605 latitudes. Comparison with CMIP3 for the 1% per year CO₂ increase experiment has
606 shown that this dipolar pattern is a novel feature of the CMIP5 ensemble of models

607 relative to the CMIP3 ensemble of models. On the basis of the projected increase in
608 the BD circulation, reported previously [*Butchart and Scaife, 2001*] and also found
609 here in the CMIP5 model ensembles, and knowledge from previous literature
610 [*Sigmond et al., 2004; Bell, 2009*], the stratospheric polar wind change (the
611 weakening) is interpreted as a remote dynamical response of the stratosphere to
612 changes in tropospheric and oceanic processes as a result of greenhouse gas forcing.
613 Although changes in stratospheric wave drag and/or forcing are obviously implicated
614 in a remote stratospheric dynamical response, open and left for future investigation
615 are the specific mechanisms linking the troposphere to stratosphere dynamical
616 response and their relative role. In addition, the spread of the modeled stratospheric
617 polar changes within the CMIP5 models calls for a better understanding of the relative
618 role and interdependence of stratospheric dynamical processes and other factors (such
619 as climate sensitivity, sea surface temperature and/or ozone changes) in leading to the
620 reported stratospheric mean changes.

621

622 (2) The height of the model top in the CMIP5 model ensembles is not a good
623 predictor of high latitude stratospheric change and consequently of the impact of the
624 future projection of the NH winter polar stratosphere on surface climate. The majority
625 of high-top models report a larger tropospheric warming than the low top models.
626 Results from three high/low-top controlled experiments indicate that for these
627 high/low-top model pairs the tropospheric warming is comparable. It is therefore
628 reasonable to assert that stratospheric processes and vertical resolution are not
629 implicated in the difference in the tropospheric warming of the high/low-top models.
630 The CMIP5 high and low top inter-comparison suggests that either the CMIP5 set of
631 opportunity does not guarantee that uncertainty in model formulations are

632 appropriately considered (e.g., too few models, models sharing parameterizations
633 and/or components), or that uncertainty in modeling the tropospheric processes is so
634 large that it overwhelms any improvement introduced by the addition of stratospheric
635 processes, or both.

636

637 (3) By sub-dividing the CMIP5 model set by the change in the strength of the
638 stratospheric polar vortex (SUA index), co-variability of the stratospheric polar winds
639 with mean SLP and in intra-seasonal tropospheric processes is revealed. Namely, high
640 latitude stratospheric wind weakening is found to coexist with smaller high-latitude
641 mean SLP decrease, smaller decrease in high-latitude blocking frequency and JLI
642 changes and smaller increase in storm track activity in the North-Atlantic and North
643 Pacific regions. It is therefore concluded that a relatively large uncertainty in surface
644 climate change related to this co-variability is present within the whole CMIP5
645 ensemble of models. A causal relationship cannot be extracted by the analysis
646 presented. Nevertheless, the fact that the link between weakening of the stratospheric
647 winds and smaller high-latitude mean SLP decrease found here is consistent with the
648 results by *Scaife et al.* [2012] and *Karpechko and Manzini* [2012], obtained by means
649 of high/low top controlled experiments, suggests that stratosphere to troposphere
650 coupling is implicated in the CMIP5 results. Further experimentations by means of
651 specifically designed simulations to further corroborate the role of the stratosphere are
652 nevertheless called for.

653

654 (4) The whole CMIP5 ensemble of models is capable to represent the salient
655 characteristics of the tropospheric response to stratospheric final warming. The
656 analysis of the projection to the end of the 21st century following the RCP8.5

657 scenarios has shown that there is no discernible change in either the behavior of SFW
658 events or their tropospheric impact between the historical and RCP8.5 model
659 ensembles. In addition, there does not appear to be any consistent relationship
660 between SFW timing and either the SUA index or the location of the model top. The
661 reported stratospheric polar wind changes therefore do not extend into the spring
662 season, at least in such a way to affect the timing of the SFW events.

663

664 To test the sensitivity of the zonal wind and temperature changes shown in Figure 2,
665 to 20th century ozone depletion, Figure 2 has been calculated also for 2061-2100
666 RCP8.5 minus 1861-1900 historical, given that after 2050, stratospheric ozone is
667 projected to recover in the RCP8.5 scenario, and the NH stratospheric ozone radiative
668 forcing returns to that of the 19th century level [Cionni *et al.*, 2011]. The results
669 shown in Figure 2 are fully reproduced for the 2061-2100 RCP8.5 minus 1861-1900
670 historical averaged changes, with slightly larger responses (in magnitude). It is
671 therefore concluded, that ozone is not the primarily driver of the stratospheric changes
672 shown in Figure 2. Nevertheless, it is noted that at the end of the 21st Century upper
673 stratospheric ozone will be expected to be larger than at the end of the 19th Century,
674 due to the CO₂ cooling of the middle atmosphere. This is a feature included in the
675 CMIP5 ozone dataset [Cionni *et al.*, 2011]. The reported stratospheric changes are
676 therefore also not an artificial response to an increase in CO₂ that does not take into
677 account the ozone-temperature feedback in the middle atmosphere, and hence
678 artificially cold stratopause temperature [Jonsson *et al.*, 2004].

679

680 In summary, on the basis of the present analysis and the Charlton-Perez *et al.* [2012]
681 assessment, it is concluded that it is the improvement in the stratospheric mean state

682 in both high top and low top CMIP5 models relative to the CMIP3 models, that most
683 likely explains the CMIP5 projected weakening of the polar stratospheric zonal winds,
684 which was absent in the CMIP3 multi-model averages. Given that the assessment by
685 *Charlton-Perez et al.* [2012] has shown that the high and low-top models have
686 comparable stratospheric mean flow performance but different stratospheric
687 variability at all scales (with the low-top model variability comparable to that of the
688 CMIP3 models), it is plausible to ask what is the role of the improved stratospheric
689 variability of the high-top models in leading to the reported stratospheric changes.
690 Knowledge of how sub-grid scale processes, such as dissipation and gravity wave
691 effects, are treated in the individual models and to what extent the sub-grid scale
692 schemes may potentially correctly compensate for deficiencies in variability in the
693 CMIP5 low-top models, is needed to answer this question, but this is clearly outside
694 the scope of this multi-model assessment. This interesting question on the interaction
695 between resolved and parameterized dynamics is therefore left open for future
696 investigations.

697

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699

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716

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848

849

849 **Figure Captions**

850

851 **Figure 1:** 1pctCO2 experiments: DJF change (101 to 140 average) minus (1 to 40
852 average). Zonal mean zonal wind (ms^{-1}): (a) CMIP5 and (b) CMIP3 multi-model
853 ensembles. CMIP5 minus CMIP3 difference in the change, for (c) zonal mean zonal
854 wind (ms^{-1}) and (d) zonal mean temperature (K). PSL (hPa): (e) CMIP5 multi-model
855 ensemble. (f) CMIP5 minus CMIP3 difference in the change. Shading: In panels (a),
856 (b), (e) Dark (light) shadings mark inter-model sign consistence at the 90% (66%)
857 level. In panels (c), (d), and (f): Dark (light) shadings mark 2-tailed t-test statistical
858 significance difference in the responses with $p < 0.05$ (<0.1).

859

860 **Figure 2:** DJF change (2061-2100 rcp8.5 minus 1961-2000 historical). Zonal mean
861 zonal wind (ms^{-1}): (a) CMIP5 multi-model ensemble and (b) CMIP5 high-top multi-
862 model minus CMIP5 low-top multi-model difference in the change. Zonal mean
863 temperature (K): (c) CMIP5 multi-model ensemble and (d) CMIP5 high-top multi-
864 model minus CMIP5 low-top multi-model difference in the change. PSL (hPa): (e)
865 CMIP5 multi-model ensemble. (f) CMIP5 high-top multi-model minus CMIP5 low-
866 top multi-model difference in the change. Shading: In panels (a), (c), (e) Dark (light)
867 shadings mark inter-model sign consistence at the 90% (66%) level. In panels (b), (d),
868 and (f): Dark (light) shadings mark 2-tailed t-test statistical significance difference in
869 the responses with $p < 0.05$ (<0.1).

870

871 **Figure 3:** CMIP5 multi-model ensemble. (a) Scatter plot of the annual, tropical (30°S-
872 30°N) and zonal mean temperature change (2061-2100 rcp8.5 minus 1961-2000
873 historical) at 300 hPa versus its respective mean change at 850 hPa, by model. Each

874 signature represents a model, high-top models in red and low-top models in blue.
875 One model (green) is intermediate. High/low-top model “pairs” (see text) shown by
876 squares. (b) Correlation of the DJF tropical (30°S-30°N, 300 hPa) zonal mean
877 temperature change with SLP, poleward of 20°N. Dark (light) shadings mark 2-tailed
878 t-test statistical significance of correlation coefficient with $p < 0.05$ (<0.1).

879

880 **Figure 4:** (a) DJF SLP (hPa) change (2061-2100 rcp8.5 minus 1961-2000 historical):
881 Difference in the change composited with respect to the sign of the projected
882 stratospheric zonal mean zonal wind change by the CMIP5 models (SUA index, see
883 text), negative SUA index (CMIP5w) model subset average minus positive SUA
884 index (CMIP5s) model subset. Dark (light) shadings mark student t-test statistical
885 significance difference in the responses with $p < 0.05$ (<0.1). (b) Scatter plot of zonal
886 mean zonal wind change (2061-2100 rcp8.5 minus 1961-2000 historical) at 10 hPa,
887 averaged between 70-80 N (SUA Index) versus (1961-2000) zonal mean zonal wind
888 maximum at 10 hPa, poleward of 50°N, by model. (c) as (b) but versus the (1961-
889 2000) monthly (D, F, J) zonal mean zonal wind standard deviation at the wind max
890 location. Each signature represents a model, high-top models in red and low-top
891 models in blue. One model (green) is intermediate. Black lines in (b) and (c) are
892 ERA40 (1960-1999) DJF zonal mean zonal wind maximum at 10 hPa and poleward
893 of 50°N and monthly (D, F, J) zonal mean zonal wind standard deviation at the wind
894 max location, respectively. In (b) and (c), red/blue/green signatures mark high/low
895 /intermediate top models.

896

897 **Figure 5:** CMIP5 multi-model ensemble. Change (2060-2100 rcp8.5 minus 1960-
898 2000 historical) in total mass upwelling (10^9 kgs^{-1}) between turn around latitudes at

899 70 hPa. CMIP5 high-top models in red and CMIP5 low-top models in blue. (a)
900 seasonal cycle from July to June. (b) Annual mean by model and by high/low top
901 model subsets.

902

903 **Figure 6:** Change (2060-2100 RCP8.5 minus 1960-2000 historical) DJF blocking
904 frequency for (a) CMIP5w (negative SUA index) model subset, (b) CMIP5s (positive
905 SUA index) model subset; and (c) their difference. The blocking frequency is based
906 on 500 hPa geopotential height and is given as the percentage of blocked days, with
907 red/blue contours indicating positive/negative changes (a and b) and difference in the
908 changes (c). Stippling mark 2-tailed t-test statistical significance with $p < 0.05$. For
909 context the thick black line in shows the 1% contour of the ERA-40 climatological
910 DJF blocking frequency.

911

912 **Figure 7:** DJF Jet Latitude Index distribution for the (a) Atlantic and (b) Pacific
913 sectors. Thick solid lines show ensemble-mean distributions for the 1961-2000 period
914 of the historical runs for CMIP5 models (black), CMIP5w (negative SUA index, red)
915 model subset and CMIP5s (positive SUA index, blue) model subset. Thin solid lines
916 show the respective changes (2061-2100 RCP8.5 minus 1961-2000 historical) in
917 distributions, and filled circles mark 2-tailed t-test statistical significance with $p <$
918 0.05 . ERA-40 1961-2000 JLI distributions (thick dashed black lines) are shown for
919 comparison. All distributions are plotted as kernel estimates using a Gaussian kernel
920 with standard deviation 2.5° (the spacing of the latitudinal grid on which the JLI is
921 defined).

922

923 **Figure 8:** Change (2060-2100 RCP8.5 minus 1960-2000 historical) DJF storm track
924 activity for (a) CMIP5w (negative SUA index) model subset, (b) CMIP5s (positive
925 SUA index) model subset; and (c) their difference. Storm track activity is given by the
926 2--6 days bandpass filter standard deviation of mean SLP, in units of 1/10 of hPa.
927 Red/blue contours indicating positive/negative changes (a and b) and difference in the
928 changes (c). Stippling mark t-test statistical significance with $p < 0.05$. For context, in
929 (a) and (b) the contours show the multi--model mean values in the historical
930 simulations (contour interval: 1 hPa).

931

932 **Figure 9:** Differences in circulation anomalies occurring during a 20-day period
933 surrounding NH SFW events as represented in the 1961-2000 averaged historical
934 ensemble of CMIP5 models. (a) zonal mean zonal wind (ms^{-1}); (b) SLP (hPa); (c)
935 surface air temperature (K). Blue and yellow contours are displayed to enclose
936 regions in which the anomaly difference is statistically significant according to a 2-
937 sided t-test.

938

938

939 **Table 1:** Models used in the CMIP3 & CMIP5 comparison (1%CO₂ experiments)

Institution	CMIP3 MODEL	CMIP5 MODEL
CCCMA	cccma_cgcm3_1	CanESM2
CNRM-CERFACS	cnrm_cm3	CNRM-CM5
NOAA GFDL	gfdl_cm2_0	
NASA GISS	giss_model_e_r	
INGV	ingv_echam4	
INM	inmcm3_0	
IPSL	ipsl_cm4	IPSL-CM5A-LR
MIROC	miroc3_2_medres	MIROC5
FUB	miub_echo_g	
MRI	mri_cgcm2_3_2a	MRI-CGCM3
NCAR	ncar_ccsm3_0	
PCMDI	ncar_pcm1	
MPI-M		MPI-ESM-LR
MPI-M		MPI-ESM-P
BCC CMA		NorESM1-ME

940

941

942 **Table 2:** CMIP5 models used in projections (rcp8.5 and historical experiments)

Institution	Model	Top	Levels	Subset
CMCC	CMCC-CESM	0.01 hPa	39	HIGH TOP
	CMCC-CMS	0.01 hPa	95	HIGH TOP
	EC-EARTH-HIGH			HIGH TOP
NOAA GFDL	GFDL-CM3	0.01 hPa	48	HIGH TOP
NASA GISS	GISS-E2-R	0.1 hPa	40	HIGH TOP
MOHC	HadGEM2-CC	85 km	60	HIGH TOP
IPSL	IPSL-CM5A-LR	0.04 hPa	39	HIGH TOP
	IPSL-CM5A-MR	0.04 hPa	39	HIGH TOP
MIROC	MIROC-ESM-CHEM	0.0036 hPa	80	HIGH TOP
	MIROC-ESM	0.0036 hPa	80	HIGH TOP
MPI-M	MPI-ESM-LR	0.01 hPa	47	HIGH TOP
	MPI-ESM-MR	0.01 hPa	95	HIGH TOP
MRI	MRI-CGCM3	0.01 hPa	48	HIGH TOP
NCAR	WACCM4			HIGH TOP
CCCMA	CanESM2	1 hPa	35	-
BCC CMA	bcc-csm1-1	2.917 hPa	26	LOW TOP
NCAR	CCSM4	2.194 hPa	27	LOW TOP
CMCC	CMCC-CESM-LOW	10 hPa	19	LOW TOP
CNRM-CERFACS	CNRM-CM5	10 hPa	31	LOW TOP
CSIRO-QCCCE	CSIRO-Mk3-6-0	4.52 hPa	18	LOW TOP
	EC-EARTH-LOW			
NOAA GFDL	GFDL-ESM2M	3 hPa	24	LOW TOP
MOHC	HadGEM2-ES	40 km	38	LOW TOP
INM	inmcm4	10 hPa	21	LOW TOP
MIROC	MIROC5	3 hPa	56	LOW TOP

NCC	NorESM1-M	3.54 hPa	26	LOW TOP
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Table 3: CMIP5 models by diagnostic: Number of realizations by model.

Model	figs 2,3b,4			fig 3a	fig 5	fig 6	fig7	fig8	fig9
	psl	ua	ta	ta	mass flux				
CMCC-CESM	1	1	1	1	1	1	1		
CMCC-CMS	1	1	1		1	1	1	1	
EC-EARTH-HIGH	1	1	1	1					
GFDL-CM3				1	1				
GISS-E2-R	1	1	1	1	1				
HadGEM2-CC	3	3	1	1	1	3	3	1	1
IPSL-CM5A-LR	4	4	1	1		5	5	1	1
IPSL-CM5A-MR	1	1	1	1		1	1	1	1
MIROC-ESM-CHEM	1	1	1	1	1	1	1	1	1
MIROC-ESM	1	1	1	1	1			1	
MPI-ESM-LR	2	2	1	1	1	3	3		1
MPI-ESM-MR	1	1	1			3			
MRI-CGCM3	1	1	1	1	1	1	1		1
WACCM4					1				
CanESM2	5	5	1	1		5	5	1	
bcc-csm1-1	1	1	1	1		1	1	1	
CCSM4	5	5	1	1				1	
CMCC-CESM-LOW	1	1	1	1		1	1		
CNRM-CM5	3	3	1	1				1	1
CSIRO-Mk3-6-0	1	1	1	1				1	1
EC-EARTH-LOW	1	1	1	1	1	1		1	
GFDL-ESM2M				1			1		1
HadGEM2-ES	3	3	1	1	1	4	1	1	
inmcm4	1	1	1	1	1			1	1
MIROC5	1	1	1	1		4	4	1	1
NorESM1-M	1	1	1	1		3	3		

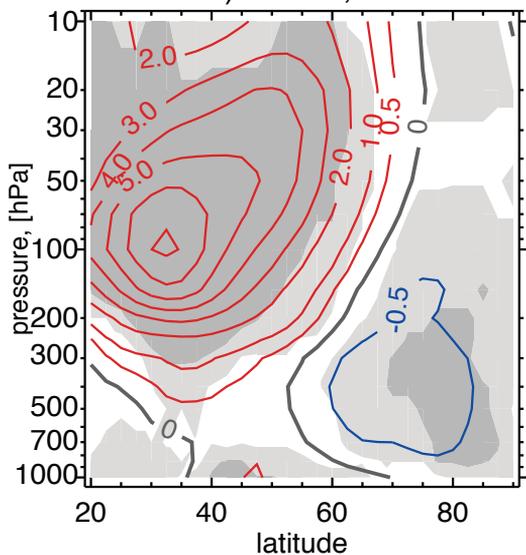
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950

Table 4: Correlation (significance) between the SUA index and zonal mean zonal wind max and std (historical, 1961-200)

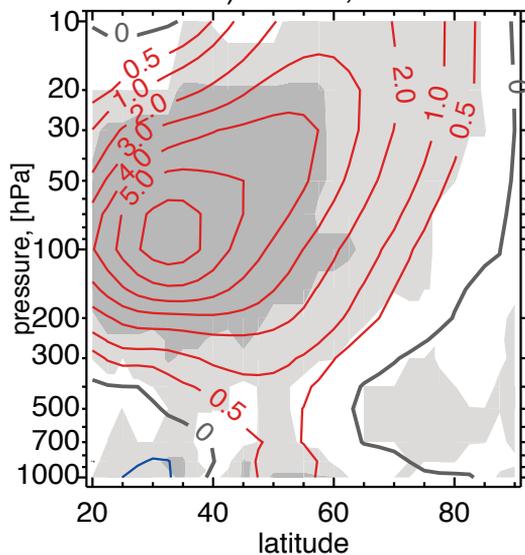
	DJF	JFM
mean max	-0.39 (p=0.07)	-0.51 (p=0.01)
std	-0.45 (p=0.03)	-0.52 (p=0.01)

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953

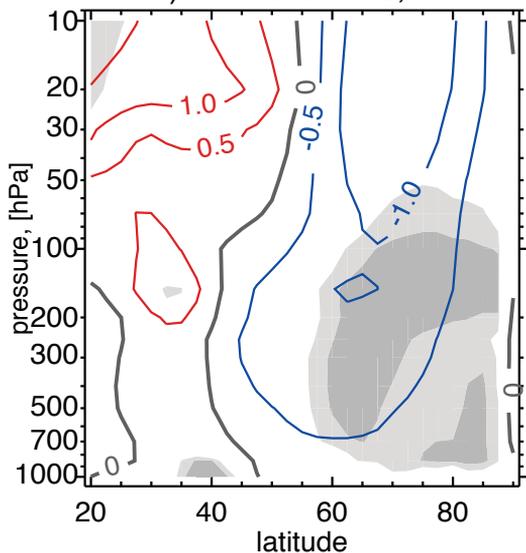
a) CMIP5, ua



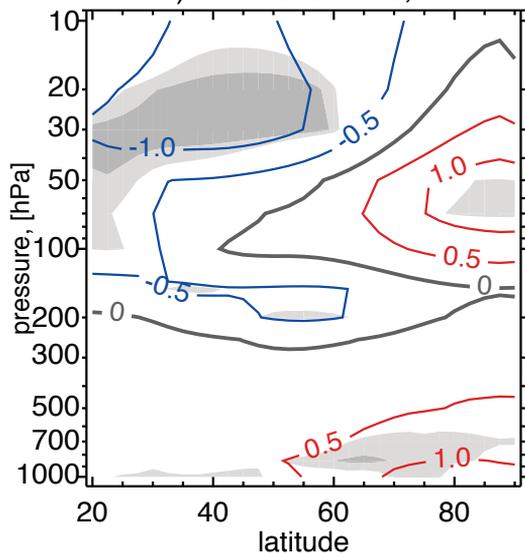
b) CMIP3, ua



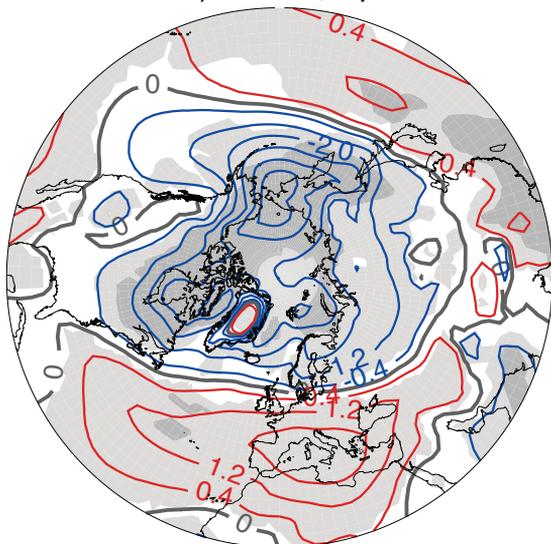
c) CMIP5-CMIP3, ua



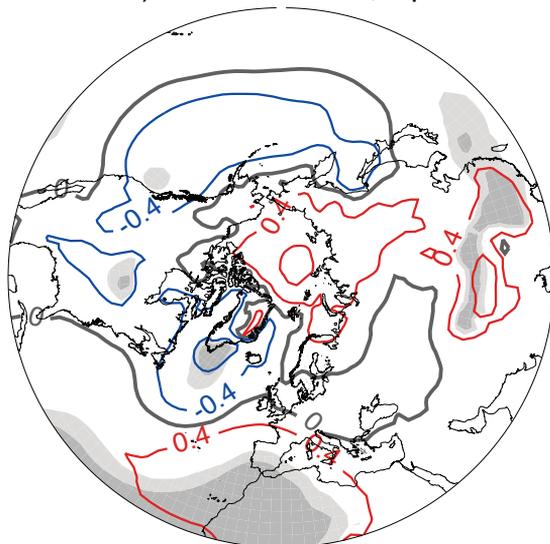
d) CMIP5-CMIP3, ta



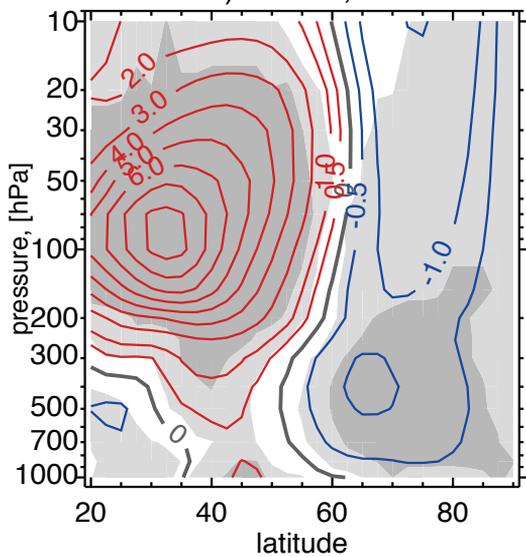
e) CMIP5, slp



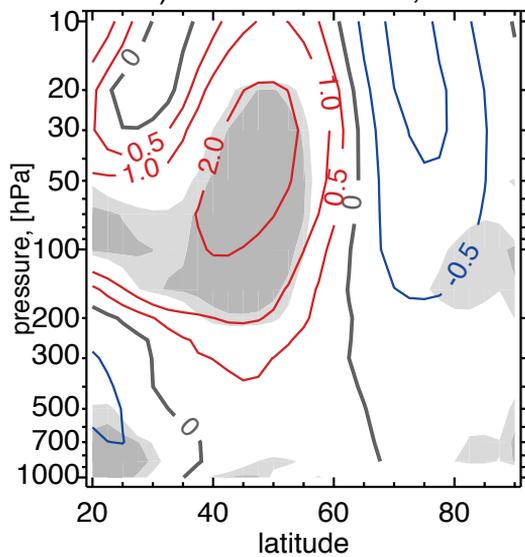
f) CMIP5-CMIP3, slp



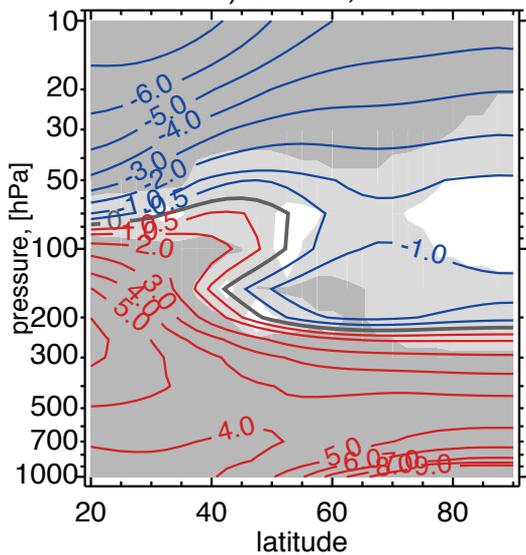
a) CMIP5, ua



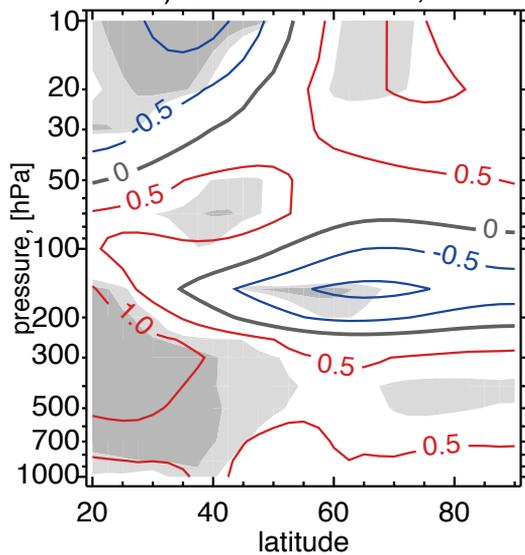
b) CMIP5h-CMIP5l, ua



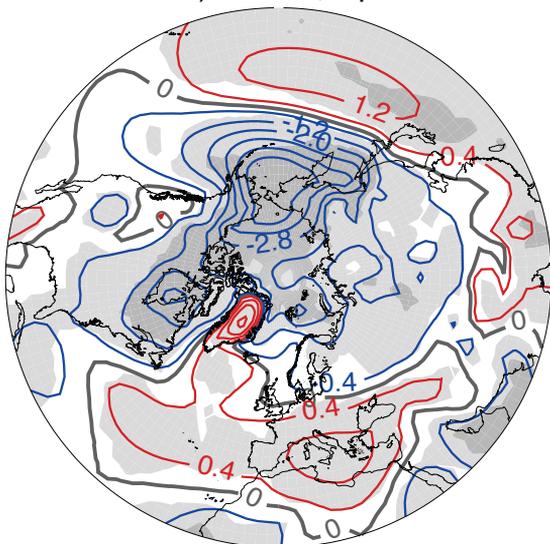
c) CMIP5, ta



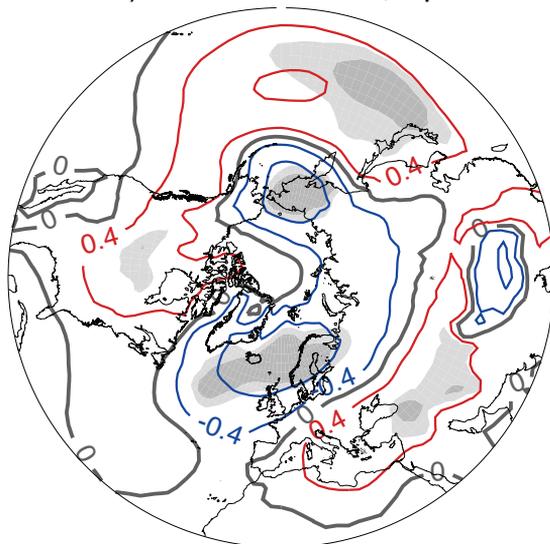
d) CMIP5h-CMIP5l, ta



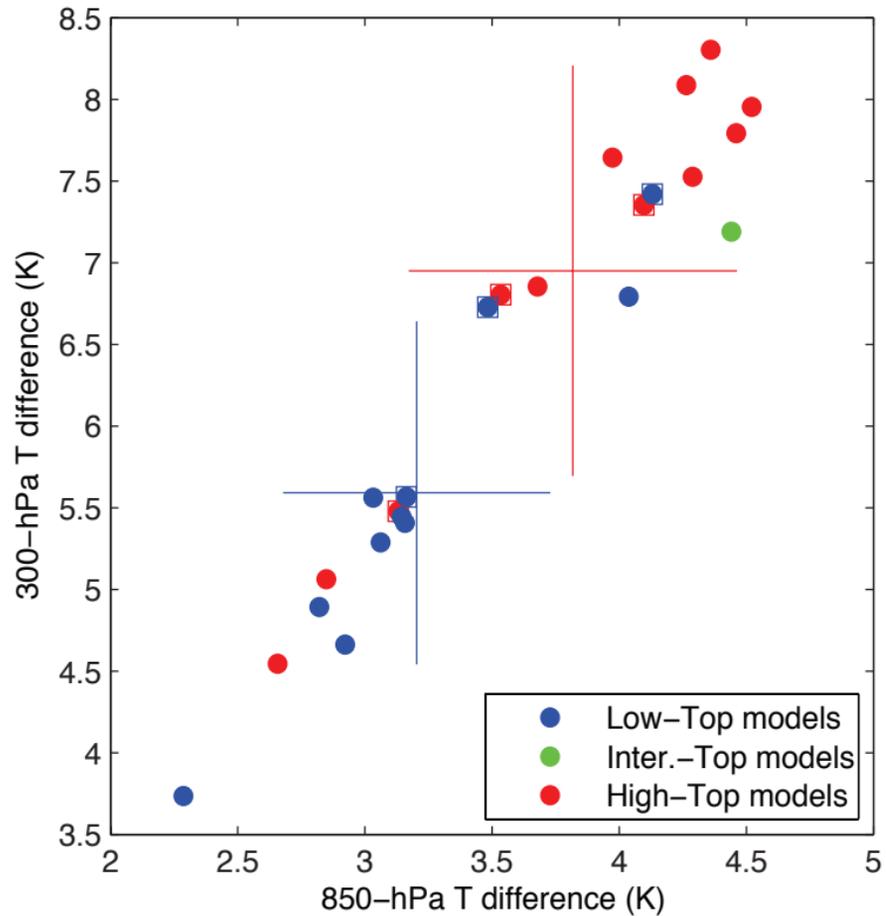
e) CMIP5, slp



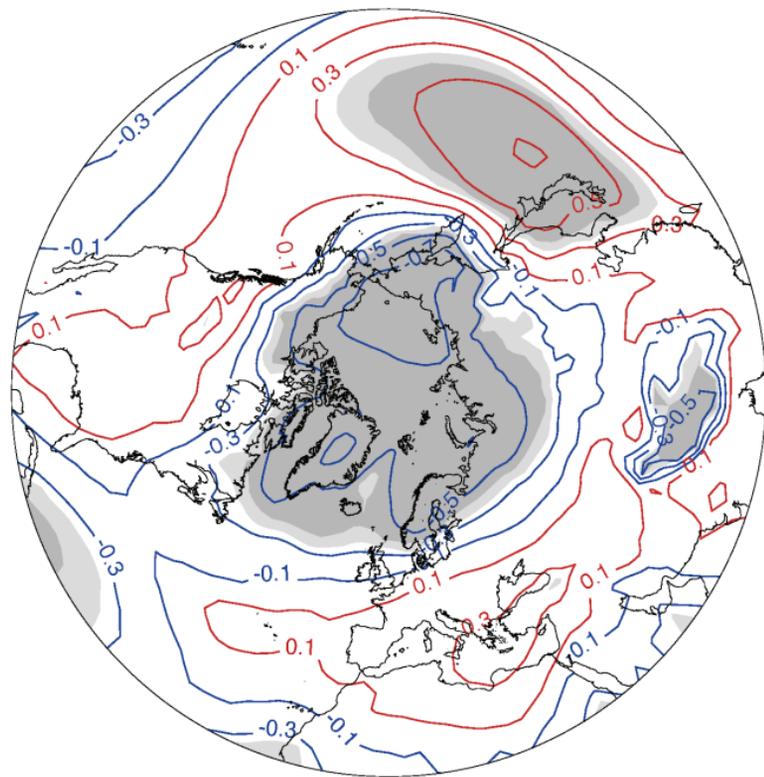
f) CMIP5h-CMIP5l, slp



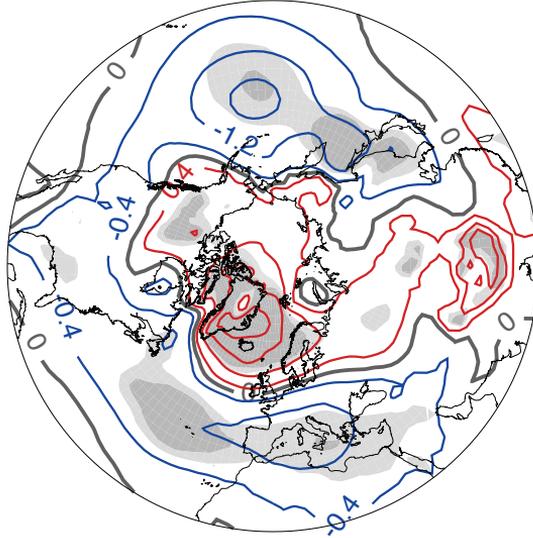
a) Tropical (30S–30N) T difference: RCP 8.5 – Historical runs



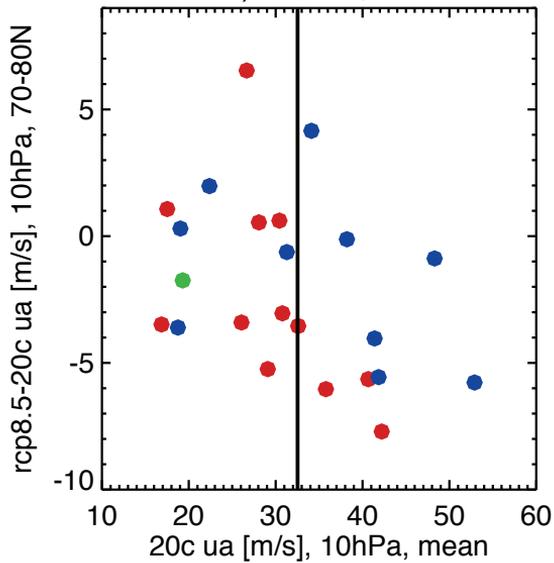
b)



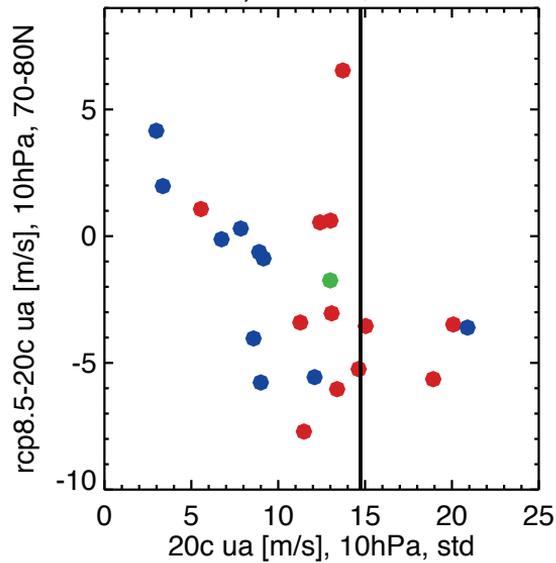
a) CMIP5w - CMIP5s, slp



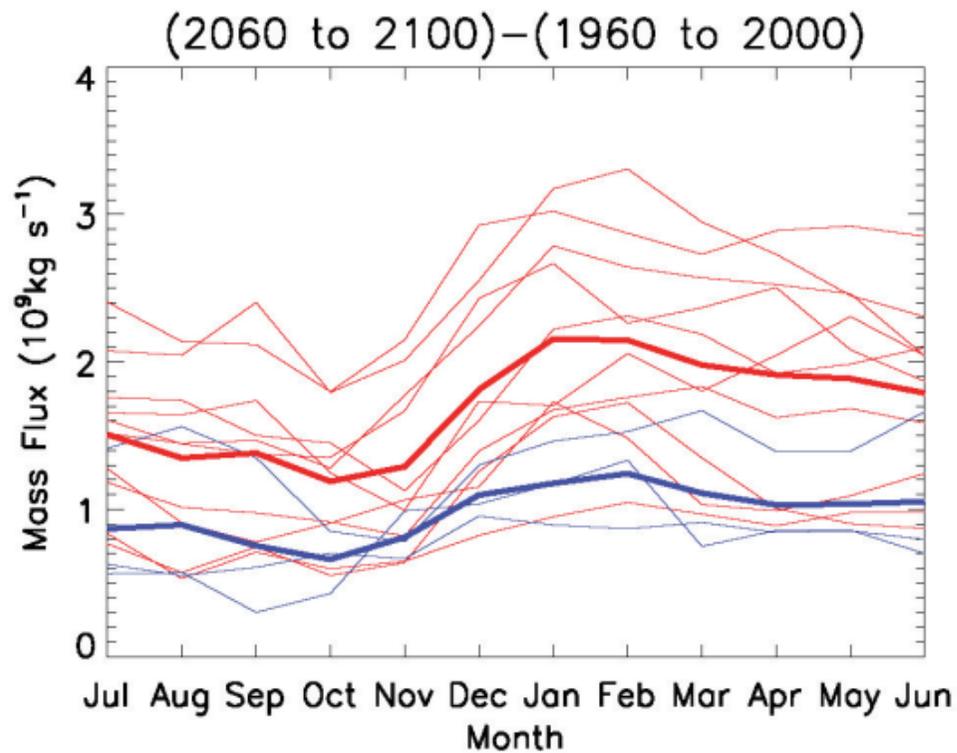
b) CMIP5, ua



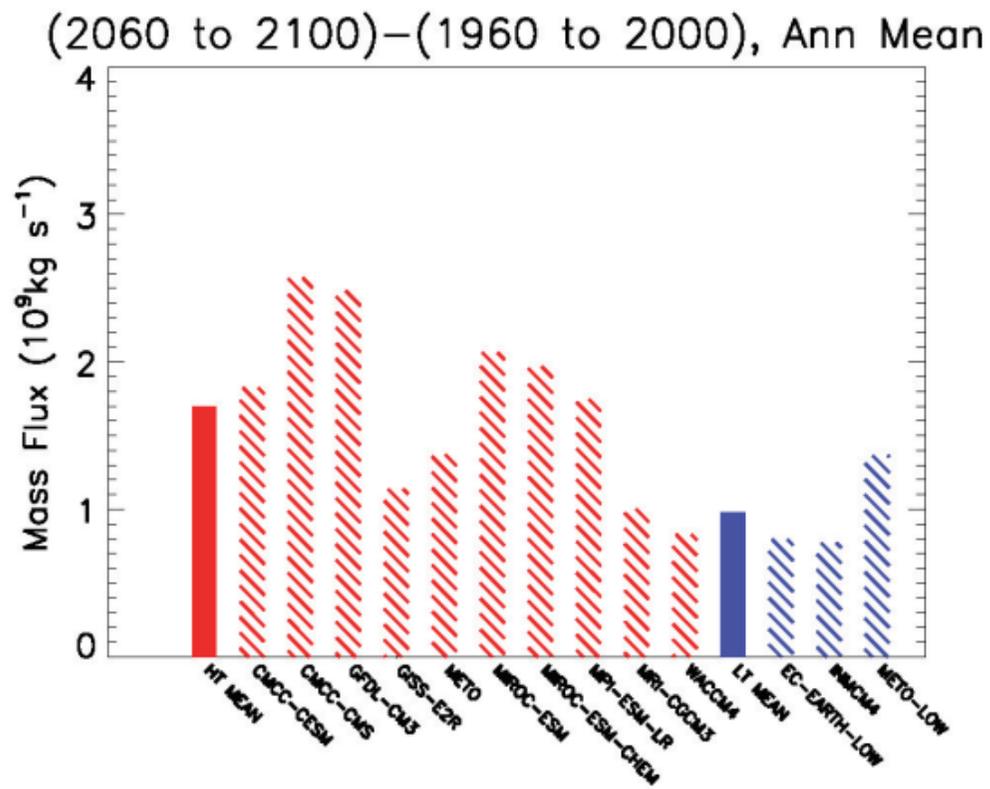
c) CMIP5, ua



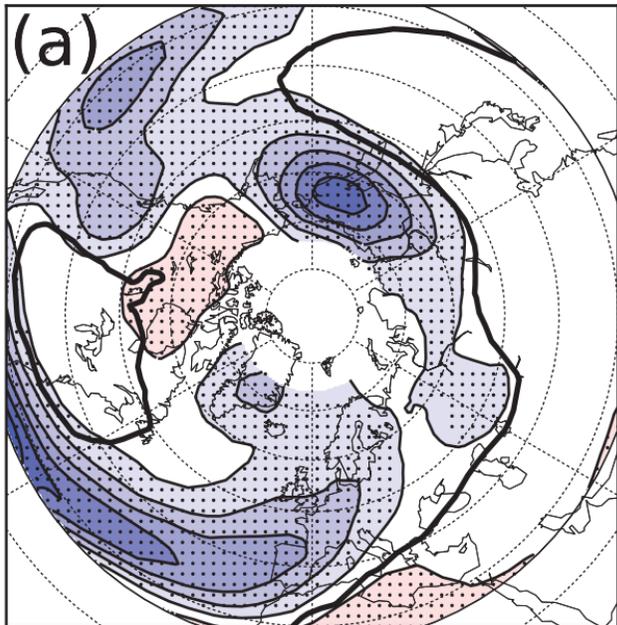
a)



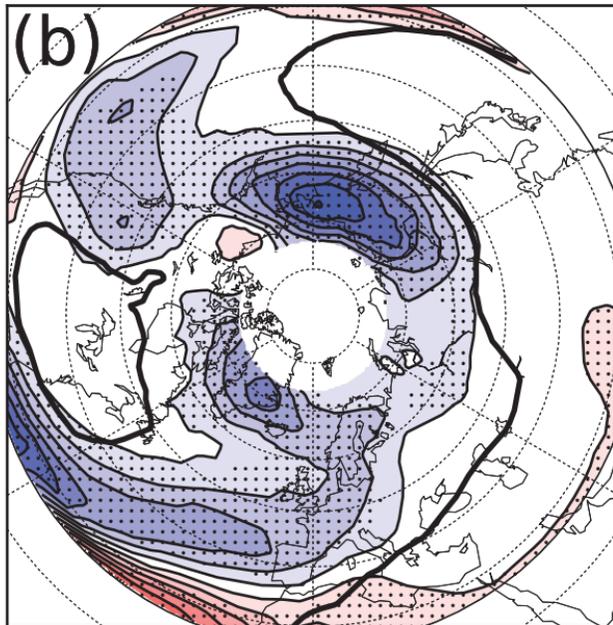
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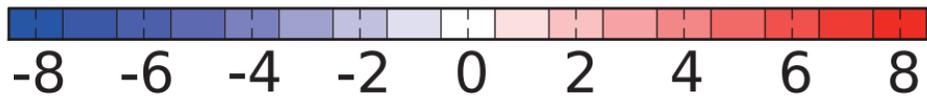
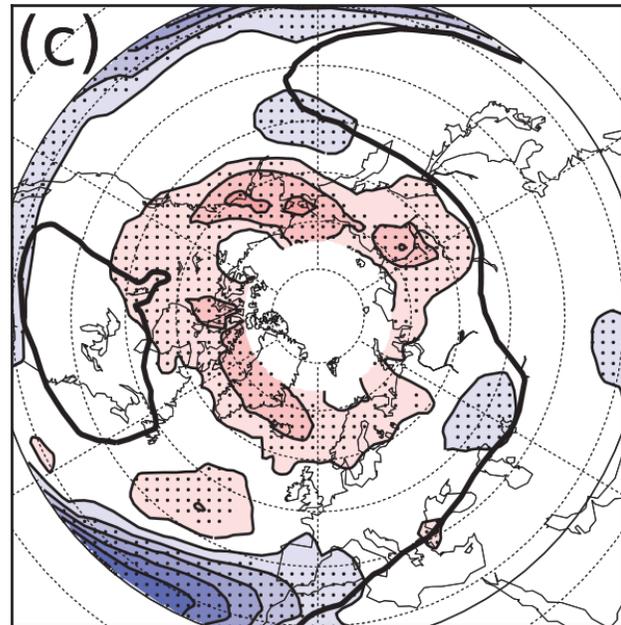
weak SUA



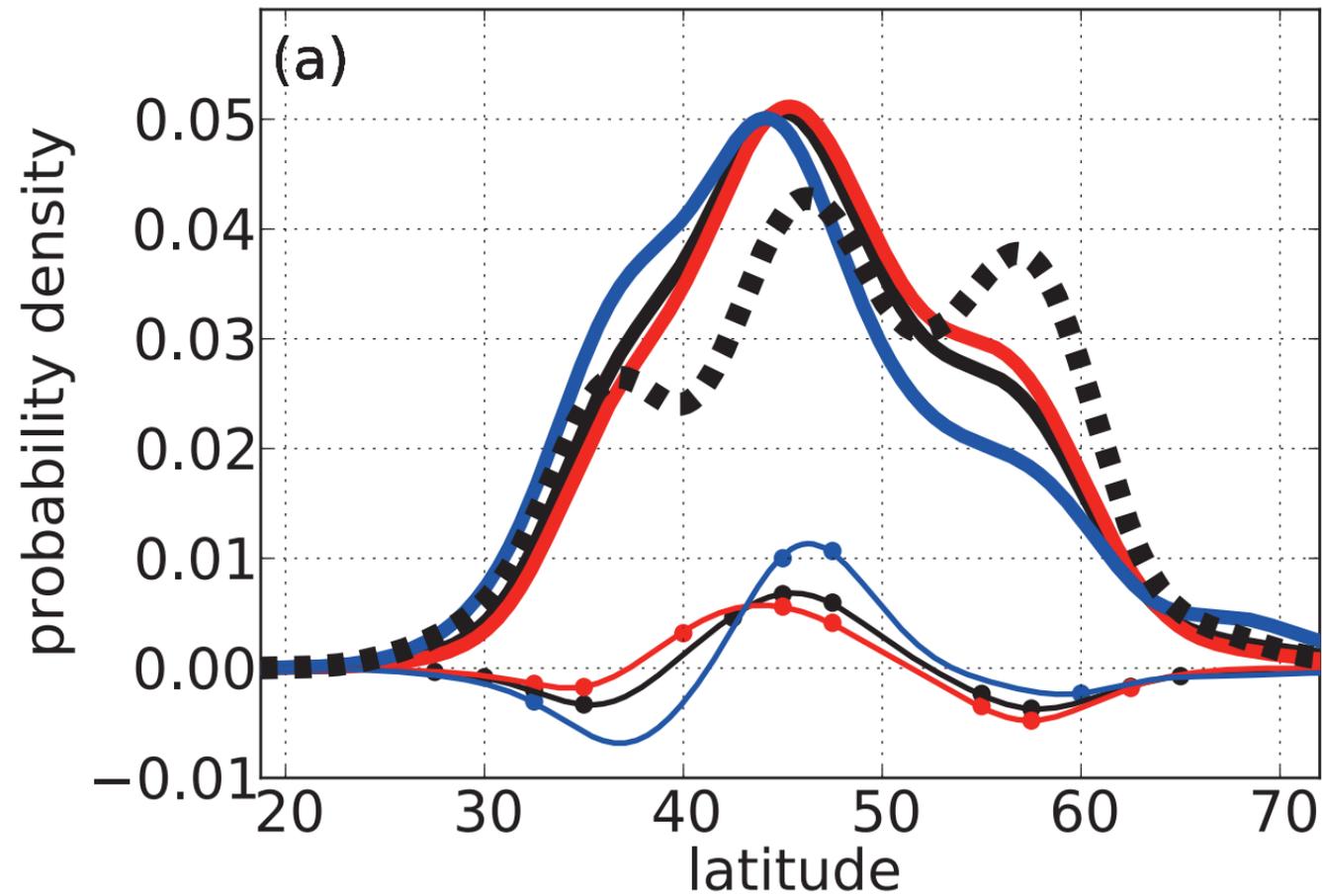
strong SUA



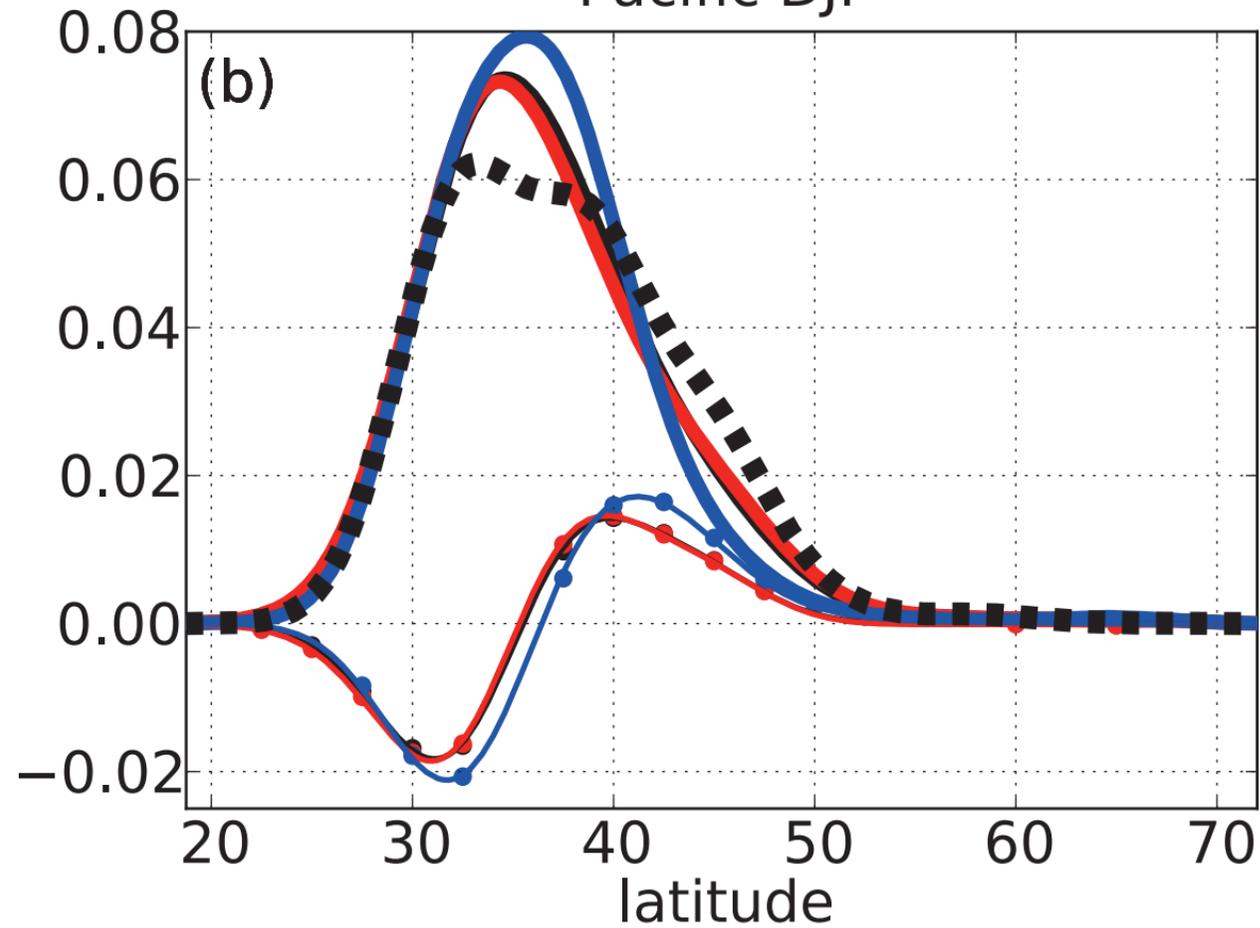
weak - strong



Atlantic DJF

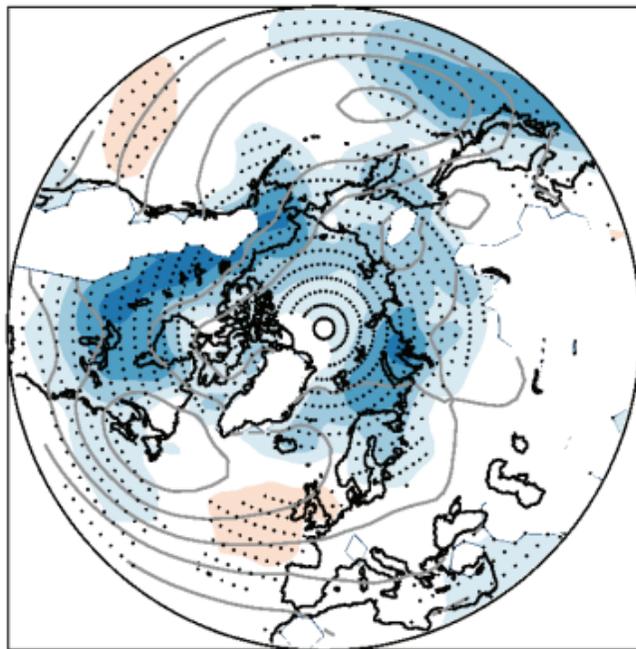


Pacific DJF



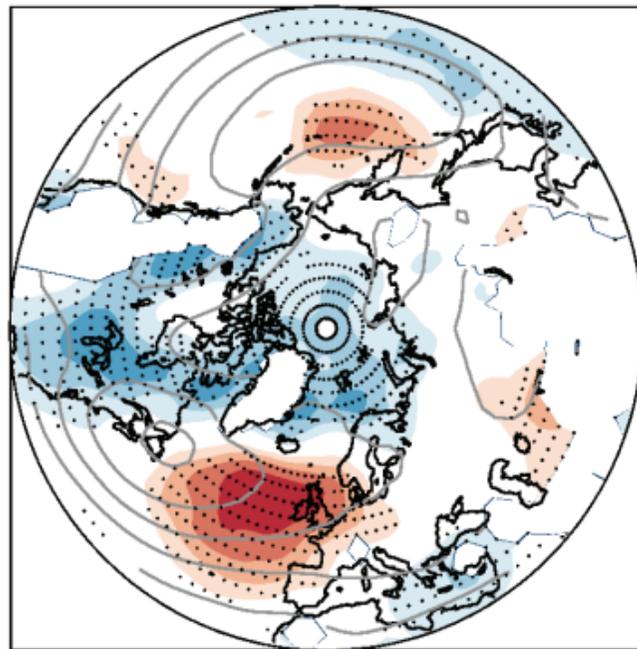
a)

Weak



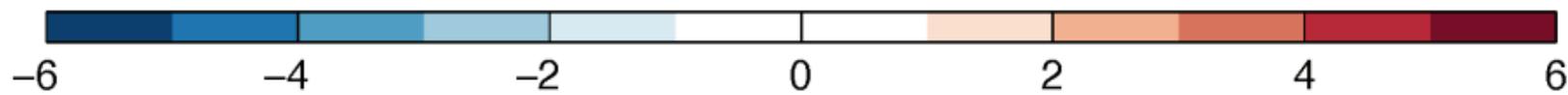
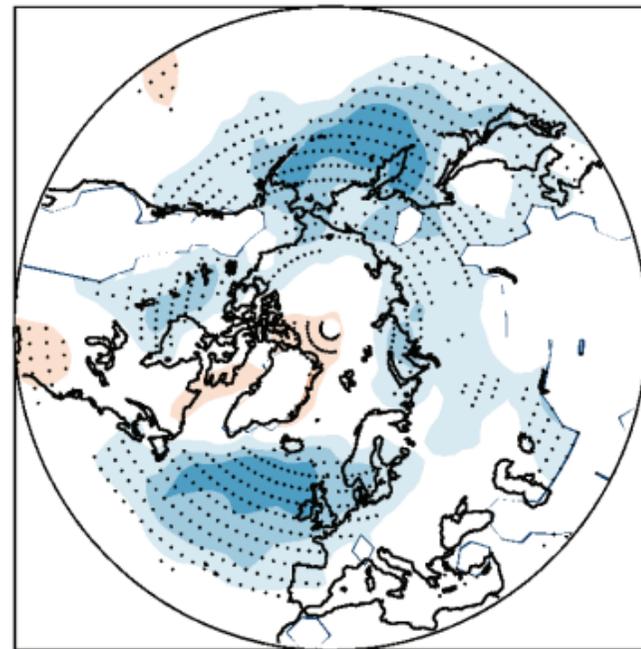
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Strong

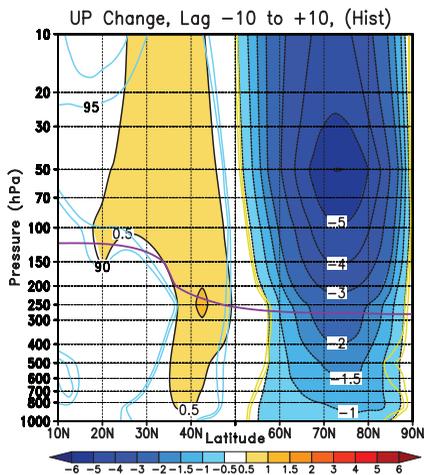


c)

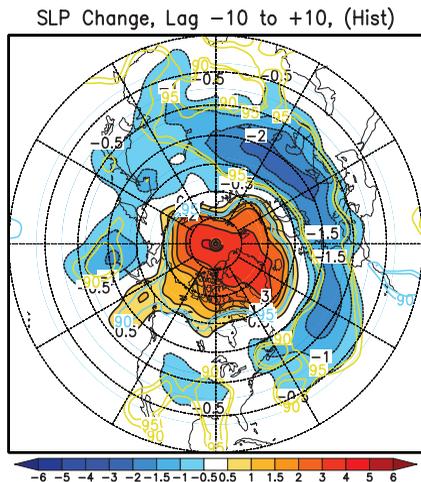
Weak - Strong



a)



b)



c)

